



# GLV-CIM/SMGS

## CIM/SMGS Consignment Note Manual (GLV CIM/SMGS) of 1 September 2006

### Amendment 26 dated 1<sup>st</sup> July 2017

This amendment contains:

- replacement pages 1/2 and 13/14
- replacement pages 3/4, 5/6, 9/10, 15/16 et 17/18 to Appendix 2,
- replacement pages 1/2 to Appendix 7.1,
- replacement pages 1/2 to Appendix 7.3.

The CIT General Assembly on 5 November 2009 decided that new documents and amendments to existing documents would only be distributed electronically. As a consequence of this decision, we would be grateful if you yourself were to print the copies you require for your internal use.

The modifications are marked in the margin.

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Edition 1 July 2017

# **CIM/SMGS Consignment Note Manual (GLV-CIM/SMGS)**

Applicable with effect from 1 September 2006

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**This document may be shared with the public**


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In accordance with point 2.5 a) of the CIT Statutes, this document is a **recommendation** and only binds members to the extent that members adopt it (opting-in principle).

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<b>Amendment No</b>	<b>Applicable with effect from</b>	<b>Amendment No</b>	<b>Applicable with effect from</b>
1	2007-07-01	18	2014-07-01
2	2008-01-01	19	2014-10-24
3/4	2008-07-01	20	2015-07-01
5	2009-01-01	21	2016-01-01
6	2009-07-01	22	2016-07-01
7	2010-01-01	23	2016-07-01
8	2010-02-09	24	2017-01-01
9	2010-05-01	25	2017-05-10
10	2010-07-01	26	2017-07-01
11	2011-07-01		
12	2012-01-01		
13	2012-07-01		
14	2013-02-15		
15	2013-03-15		
16	2013-07-01		
17	2014-02-01		

### 14.3 Consignments from states which apply the SMGS

#### 14.3.1 Agreement to carry

The forwarding contractual SMGS carrier must reach agreement with the CIM carrier at the reconsignment point before sending the consignment.

Applications for authorisation for each traffic axis and for a specific period of time are to be sent at least seven days before the beginning of the movement (or a month in the case of an exceptional consignment, even when the consignment is only considered exceptional over part of the route). They must contain at least the following information: traffic axis, description of the goods. After processing of the application with other CIM participating carriers, the CIM carrier at the reconsignment point will provide the contractual SMGS carrier with the authorisation number and as appropriate, the special conditions which are to be applied with effect from the forwarding railway station. The agreement number is to be entered in box 64 (Carrier's declarations) of the CIM/SMGS consignment note. See point 15.1 below.

For consignments which are to be carried as exceptional consignments within the CIM area and under CIM conditions, even if only over a section of the journey, the CIM carrier at the reconsignment point is to inform the contractual SMGS carrier of the authorisation number which is to be entered into box 7 of the consignment note under code 11.

If the characteristics of individual consignments differ from the information supplied and accepted in the authorisation process, the contractual SMGS carrier is to ask the CIM carrier at the reconsignment point if the authorisation can be extended to them or if a new authorisation is necessary. After consideration of the application, the CIM carrier at the reconsignment point is to inform the contractual SMGS carrier of the result.

## 15 **Customs processes**

### 15.1 Obligations regarding customs security procedures and other customs obligations

Before goods enter the European Union (EU), it is necessary to check that the customs security obligations and other customs obligations which apply to that territory have been satisfied.

If the simplified transit procedure for rail is to be used within the territory of the European Union (EU) or that of the contracting parties of the EU/EFTA Convention on a Common Transit Procedure, for consignments from states that apply the SMGS, the contractual SMGS carrier is to ensure that details of the contractual CIM carrier and the holder of the paper-based Union transit procedure are entered on the consignment note before the consignment enters the territory of the European Union. To allow this to be done, the CIM carrier at the reconsignment point is to inform the contractual SMGS carrier of the details to be entered in box 66 of the consignment note when he supplies the agreement number in accordance with point 14.3.1. }

### 15.2 Supply of the invoice

For consignments from states in which the CIM Uniform Rules apply, the consignor is to supply the invoice for the goods with the consignment note.

**16 Dangerous goods**

Dangerous goods are only accepted for carriage if they satisfy the provisions of the RID and SMGS Appendix 2<sup>1</sup>.

**17 Deliberately left blank****18 Loading tackle****18.1 Consignments via Poland and Romania**

Except where otherwise agreed between the CIM carriers and SMGS carriers concerned, CIM carriers' loading tackle (wagon sheets etc.) will only accompany the consignment to the point of transshipment.

Privately owned loading tackle will accompany the consignment to the final destination station.

**18.2 Consignments via Bulgaria, Hungary, Slovakia and the Sassnitz/Mukran – Klaipeda ferry**

CIM carriers' loading tackle (wagon sheets etc.) is not permitted.

Privately owned loading tackle will accompany the consignment to the final destination station.

**C. Paper consignment note****19 Specimen**

A specimen consignment note is shown in *Appendix 5* to this manual. It consists of six numbered sheets in A4 format:

Sheet		Retention of the sheet
No	Description	
1	Original of the consignment note	Consignee
2	Invoice	Carrier who delivers the goods to the consignee
CIM 5 SMGS 3	Duplicate of the consignment note	Consignor
4	Delivery note	CIM → SMGS traffic: carrier who delivers the goods to the consignee SMGS → CIM traffic: not used
CIM 3 SMGS 5	Arrival note/Customs	CIM → SMGS traffic: consignee/customs SMGS → CIM traffic: destination carrier/customs
6	Duplicate invoice	CIM → SMGS traffic: forwarding carrier SMGS → CIM traffic: not used

Where consignments come from states that apply the SMGS, the consignor is to make out

<sup>1</sup> The forwarding carrier is to supply the information necessary.  
Details of how to order the RID may be obtained from the following address:  
Intergovernmental Organisation for International Carriage by Rail (OTIF)  
Gryphenhübelweg 30  
CH - 3006 BERN  
Switzerland  
Tel. : + 41 31 359 10 10  
Fax : + 41 31 359 10 11  
E-mail : [info\(at\)otif.org](mailto:info@otif.org)  
Web : [www.otif.org](http://www.otif.org)

SMGS Appendix 2 may be ordered from the SMGS carriers at the reconsignment point – see addresses in *Appendix 4* of this manual.

Box No	Status of information	Contract of carriage	Description of box and information
7 (cont'd)	C	SMGS	22 Payer of charges ... [SMGS carrier's abbreviation (see point 3 of this appendix) for whom the freight charges will be paid by one payer of charges. Name and code of the payer of charges (see points 11.1 and 11.2 of this manual)].
	C	SMGS	23 Other declarations ... <ul style="list-style-type: none"> <li>- Enter exact journey route on diversion for carriage of goods;</li> <li>- Instructions for handling of goods in the event of obstacles to carriage and delivery;</li> <li>- Protective measures and temperature range for the carriage of perishable goods;</li> <li>- Description of damage to wagon provided by the consignor and the UTI<sup>1</sup> determined when handing over the goods for carriage;</li> <li>- Enter the remark "Carriage without protection of fragile parts" or "Key to vehicle no. ___" for carriage of lorries and tractors;</li> <li>- To enter the method of carriage agreed with the carrier (including the method for carriage of empty wagons) when the goods are carried on railways with varying gauges, enter the following remarks: "Transshipment of goods into wagon of a different gauge", "Bogies changed to different gauge" (if a contract for bogie changeover has been concluded, enter the contract number and date contract was concluded), or "Use of gauge changeover wheelsets";</li> <li>- Consignor's declaration concerning repairs carried out by him;</li> <li>- When carrying refrigerated goods, give their humidity in percent and provide information about precautionary measures taken ("Goods are refrigerated", "chalk (... %) applied", "processed with oil (...%)", "layers of sawdust applied" etc.);</li> <li>- Empowerment of escort.</li> </ul>
	C	CIM	24 Dangerous goods packed in limited quantities the total gross mass of which exceeds eight tonnes per wagon or UTI.
8	O	CIM/ SMGS	<b>Consignor's reference/Contract No:</b> <ul style="list-style-type: none"> <li>- CIM → SMGS traffic: Indicate the consignor's reference. The importer's contract number in accordance with the SMGS contract of carriage is to be entered in box 15.</li> <li>- SMGS → CIM traffic: Indicate the exporter's contract number.</li> </ul>
9	C	CIM/ SMGS	<b>Accompanying documents attached by the consignor:</b> List of all the accompanying documents needed for carriage which are attached to the consignment note. If multiple copies of the accompanying documents are attached, the number of copies is to be given. Details of any supplementary sheets. When the consignor uses a dangerous goods form in accordance with section 5.4.5 RID or Appendix 2 SMGS, it is to be treated as a supplementary sheet. The documents are to be indicated in code and in plain text on the paper consignment note, and only in code on the electronic consignment note. Additional information may be entered in a free text box for each code. The UN/EDIFACT 1001 list of codes ( <a href="http://www.unece.org">www.unece.org</a> ) is to be used to code accompanying documents.
	C	SMGS	If the accompanying documents named in the consignment note have to be seized during transport, the abbreviation of the railway on which these accompanying documents were seized must be given following the description of these documents. The following note is to be made: "for ... (abbreviation of railway on which these accompanying documents were seized)".
10	M	CIM/ SMGS	<b>Delivery point:</b> <ul style="list-style-type: none"> <li>- CIM → SMGS traffic: details of the destination station and destination railway (abbreviation – see point 3 of this appendix).</li> <li>- SMGS → CIM traffic: details of the delivery point, destination station and country.</li> </ul>
11	O	CIM	<b>Code for the delivery point:</b> If the code is missing, it may be entered by the carrier.
12	M	CIM/ SMGS	<b>Station code:</b> International code for the station serving the delivery point for the goods (CIM) or international code of the destination station (SMGS). Two characters for the country code / national railway code plus six characters for the station code. If the code is missing, it must be entered by the carrier (see point 4 of this Appendix).

1 Russian abbreviation - ITE

13	C C C C C  C	CIM CIM CIM CIM CIM/ SMGS  SMGS	<b>Commercial specifications:</b> Code    Meaning 1        Route ... 2        Traffic flow ... 3        Carriers mandated to perform the carriage, section, status ... . 4        Defined frontier stations ... (for exceptional consignments). 5        Other conditions requested ... (for example, the numbers of other customer agreements or tariffs in the CIM area – the number of the customer agreement/tariff which covers the section performed by the carrier who first takes charge of the goods is shown in box 14). 6        Frontier exit stations: ... (their codes, railway abbreviation for country of forwarding, railway abbreviation for transit countries involved in the journey route agreed with the contractual carrier). If the consignment is partially carried by ferry, enter the description of ports and port railway stations where the goods are transhipped (rail/ship, ship/rail). If the consignment can be carried from the frontier exit station via different frontier entry stations of the neighbouring country, give also the description of the frontier entry station through which the consignment is carried.
14	C	CIM	<b>Number of customer agreement or tariff:</b> Number of the customer agreement or tariff, which covers the section performed by the carrier who first takes charge of the goods, preceded by the identifier code 1 for customer agreements and 2 for tariffs.
15	O	CIM/ SMGS SMGS	<b>Remarks which do not commit the carrier:</b> Information from the consignor to the consignee relating to the consignment. This information is not to commit the carrier. For CIM → SMGS traffic, the importer's contract number (for the delivery) may be entered.



Box No	Status of information	Contract of carriage	Description of box and information
16	M	CIM  SMGS	<b>Acceptance point:</b> - Point (including station and country), date and time (month, day and hour) at which the goods were accepted for carriage. Note: When details of the actual acceptance differ from those given here, the carrier who has accepted the traffic is to note the difference in box 64 (carrier's declarations). - Forwarding station and railway abbreviation (see point 3 of this appendix). Code of forwarding station.
17	O	CIM	<b>Code for the acceptance point:</b> The carrier is to provide the consignor with the code in the customer agreement. If the code is missing, it may be entered by the carrier.
18	M  C	SMGS  CIM	<b>Sectional invoicing:</b> a) SMGS: Abbreviation for the successive carriers in the order of carriage, details of the names and codes of the payers of the charges. b) CIM: If part or all of the journey is to be invoiced separately by a carrier other than the forwarding or destination carrier, enter the code for the carrier or the country code in the left-hand column to indicate the section to be invoiced; enter the code for the carrier who is to invoice the amount in question in the right-hand column.
19	M  M  M C  C  C	CIM/ SMGS  SMGS  SMGS CIM  CIM/ SMGS  CIM/ SMGS	<b>Wagon No:</b> - Wagon with 12-digit wagon number: enter wagon number. The wagon type can be inferred from the wagon number.  - Other wagons: Enter the category, the number of the wagon owner and abbreviation of home railway. A mark is to be made indicating who supplied the wagon: "B" – if the wagon was supplied by the carrier; "A" – if the wagon was supplied by the consignor. A wagon actually supplied by the consignee is considered equivalent to a wagon supplied by the consignor. - Enter the load limit, the number of axles and the tare.  Notes: - If the goods are transhipped, the original information is deleted and details of the new wagon used are entered. - Where several wagons are consigned using a single consignment note, the remark "See attached list" must be entered in this box.
20	C C  M  C  C  C M C  C  C  C	SMGS CIM/ SMGS  CIM/ SMGS  CIM  SMGS  SMGS CIM/ SMGS  SMGS  CIM	<b>Description of the goods:</b> - Signs and marks shown on the individual packages. - Nature of the packaging of the goods: tally number and painted numbers of UTI together with their types and length.  - Description of the goods, for dangerous goods the information required by section 5.4.1 RID / Appendix 2 to the SMGS.  - "Harmonised Commodity Code" for the goods when required by customs law.  - Perishable goods: Enter the remark "perishable". If the goods are transported in covered ventilated wagons, enter the remark "ventilated" as well. See also point 14.2.2 of this manual. - Identification number of lorry. - Number of packages in figures - Tally number and description of seals attached to the wagon or UTI by the consignor or carrier. - If seals are used – number, description and checkmarks of seals, abbreviation of forwarding railway. - Number and description of seals attached to lorry by consignor or carrier; if seals are used – description and checkmarks of seals, abbreviation of forwarding railway. - A label is to be fixed or a pictogram stamped if goods are subject to a transit procedure.

Box No	Status of information	Contract of carriage	Description of box and information
20 (cont'd)	C	CIM	<ul style="list-style-type: none"> <li>- Master Reference Number (MRN) required by customs law for wagons or UTI, supplemented by               <ul style="list-style-type: none"> <li>. "E MRN" if an export declaration has been lodged *)</li> <li>. "T MRN" if a transit declaration has been lodged *)</li> <li>. "TS MRN" if a transit declaration with security data has been lodged *)</li> <li>. "EXS MRN" if the exit summary declaration has been made separately by the consignor.</li> <li>. "ENS MRN" if the entry summary declaration has been made separately by the consignor.</li> </ul> </li> <li>*) The accompanying document is to be entered in box 9.</li> </ul>
	C	CIM	<ul style="list-style-type: none"> <li>- Administrative Reference Code (ARC) required by excise law for wagons and UTI, supplemented by               <ul style="list-style-type: none"> <li>. "ARC" *)</li> </ul> </li> <li>*) The accompanying document is to be entered in box 9.</li> </ul>
	C	CIM	<ul style="list-style-type: none"> <li>- The remark "EXPORT" if the export formalities for the wagon or UTI have been completed at the customs office of exit of the place where the goods are accepted for carriage in accordance with Article 329 para. 7 of Commission Implementing Regulation (EU) 2015/2447.</li> </ul>
	C	SMGS	<ul style="list-style-type: none"> <li>- Consignment which is out of gauge on railways ... (abbreviations for the railways in accordance with point 3 of this appendix).</li> </ul>
	C	SMGS	<ul style="list-style-type: none"> <li>- Remarks on technical securing and loading conditions for goods not exceeding the loading gauge that are loaded on open wagons of 1520 mm gauge (except low-loader wagons): "Point... of Chapter... TU", "NTU No. ", "MTU No. " or "Draft No. ".</li> <li>In CIM -&gt; SMGS traffic this is entered by the carrier performing the transshipment/gauge change. In the reverse direction this information will be entered by the consignor or forwarding station, depending on who undertakes the loading.</li> </ul>
21	C	CIM	<p><b>Exceptional consignment:</b> A cross must be put in this box when the instructions applicable to exceptional consignments in international traffic within the area in which the CIM applies provide for such an indication.</p>
22	C	CIM/ SMGS	<b>RID/SMGS Appendix 2:</b> A cross must be put in this box when the goods are subject to the RID or SMGS Appendix 2.
23	M	CIM/ SMGS	<b>NHM/GNG code</b> 6 digit NHM code.
24	M	CIM/ SMGS	<p><b>Mass as given by the consignor:</b> Indicate</p> <ul style="list-style-type: none"> <li>- the gross mass of the goods (including packaging) separately by NHM/GNG code</li> <li>- the mass of the tare of UTI and containers</li> <li>- the total mass of the consignment.</li> </ul>
	C	SMGS	<ul style="list-style-type: none"> <li>- the mass of the lorry.</li> </ul>
25			Not to be completed.
26	O	CIM/ SMGS	<b>Customs endorsements:</b> Box reserved for endorsements by customs authorities or a consignor authorised by customs.
27	C	SMGS	<b>Declaration of value:</b> Declaration of the value of the goods in accordance with SMGS Article 17. "Declaration of value of goods".
28	M	CIM	<b>Place and date completed:</b> Place and date (year, month, day) at which the consignment note was made out.
29	M	CIM/ SMGS	<p><b>Reconsignment point:</b> Indicate reconsignment point in accordance with <i>Appendix 3</i> of this manual.</p> <p>This reconsignment point is simultaneously</p> <ul style="list-style-type: none"> <li>- For CIM → SMGS traffic: CIM delivery point and SMGS forwarding station,</li> <li>- For SMGS → CIM traffic: SMGS destination station and CIM acceptance point.</li> </ul>

Box No	Status of information	Contract of carriage	Description of box and information																																								
63	C	CIM	<p><b>CIM extension of transit period:</b> Where the transit period is extended in accordance with CIM Article 16 § 4, enter the code for the cause, the beginning and the end (month, day, hour) and the location of the extension:</p> <table> <thead> <tr> <th>Code</th> <th>Meaning</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Completion of formalities required by customs or other administrative authorities (CIM Article 15)</td> </tr> <tr> <td>2</td> <td>Examination of the consignment (CIM Article 11)</td> </tr> <tr> <td>3</td> <td>Amendment of the contract of carriage (CIM Article 18)</td> </tr> <tr> <td>4</td> <td>Circumstances preventing carriage (CIM Article 20)</td> </tr> <tr> <td>5</td> <td>Circumstances preventing delivery (CIM Article 21)</td> </tr> <tr> <td>6</td> <td>Attention to be given to the consignment</td> </tr> <tr> <td>7</td> <td>Rectification of the load following unsatisfactory loading by the consignor</td> </tr> <tr> <td>8</td> <td>Transshipment following unsatisfactory loading by the consignor</td> </tr> <tr> <td>9</td> <td>Other causes: ...</td> </tr> </tbody> </table>	Code	Meaning	1	Completion of formalities required by customs or other administrative authorities (CIM Article 15)	2	Examination of the consignment (CIM Article 11)	3	Amendment of the contract of carriage (CIM Article 18)	4	Circumstances preventing carriage (CIM Article 20)	5	Circumstances preventing delivery (CIM Article 21)	6	Attention to be given to the consignment	7	Rectification of the load following unsatisfactory loading by the consignor	8	Transshipment following unsatisfactory loading by the consignor	9	Other causes: ...																				
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64	C  M C C C   C	CIM/ SMGS  CIM CIM CIM CIM   CIM	<p><b>Carrier's declarations:</b></p> <p>Indication that an invoice sheet or accompanying document has been completed: "wagon/container number ... has been re-consigned using reconsignment invoice sheet No./accompanying document No. ... of ... (date) created by ... station". In addition, the reason for the wagon being detached is to be recorded.</p> <p>Agreement number (see point 14.3.1 of this manual).</p> <p>Charges note made out on ...</p> <p>Charges note returned on ...</p> <p>As applicable, carriers declarations such as number of the authorisation to load, reserve with reasons, point, date and time at which the goods were accepted if they differ from the information given by the consignor in box 16, agreed delivery time if the details given by the consignor in box 7 are not correct, name and address of the carrier to whom the goods have been actually handed over if not the contractual carrier: the number of the contract to subcontract and the code for the substitute carrier (optionally to be provided by the carrier who concluded the contract to subcontract with the substitute carrier).</p> <p>Reservations with reasons are to be entered in code (see the table below), in the following manner "reserve with reason No ...". Where codes 2, 3, 4, 11 and 12, are used, details of the reserve must be given.</p> <table> <thead> <tr> <th>Code</th> <th>Meaning</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Without packaging.</td> </tr> <tr> <td>2</td> <td>Packaging damaged: ... (give details).</td> </tr> <tr> <td>3</td> <td>Inadequate packaging: ... (give details).</td> </tr> <tr> <td></td> <td>Goods</td> </tr> <tr> <td>4.1</td> <td>- clearly in poor condition: ... (give details),</td> </tr> <tr> <td>4.2</td> <td>- damaged: ... (give details),</td> </tr> <tr> <td>4.3</td> <td>- wet: ... (give details),</td> </tr> <tr> <td>4.4</td> <td>- frozen: ... (give details).</td> </tr> <tr> <td>5</td> <td>Loaded by the consignor.</td> </tr> <tr> <td>6</td> <td>Loaded by the carrier in inclement weather at the request of the consignor.</td> </tr> <tr> <td>7</td> <td>Unloaded by the consignee.</td> </tr> <tr> <td>8</td> <td>Unloaded by the carrier in inclement weather at the request of the consignee.</td> </tr> <tr> <td></td> <td>Impossible to make the examination in accordance with CIM Article 11 § 3 because of:</td> </tr> <tr> <td>9.1</td> <td>- inclement weather,</td> </tr> <tr> <td>9.2</td> <td>- sealing of the wagon or UTI,</td> </tr> <tr> <td>9.3</td> <td>- impossible to access the load of the wagon or UTI,</td> </tr> <tr> <td>10</td> <td>Request for examination in accordance with CIM Article 11 § 3 presented late by the consignor.</td> </tr> <tr> <td>11</td> <td>Examination not made because of a shortage of resources: ... (give details).</td> </tr> <tr> <td>12</td> <td>Other reserves: ... (give details).</td> </tr> </tbody> </table>	Code	Meaning	1	Without packaging.	2	Packaging damaged: ... (give details).	3	Inadequate packaging: ... (give details).		Goods	4.1	- clearly in poor condition: ... (give details),	4.2	- damaged: ... (give details),	4.3	- wet: ... (give details),	4.4	- frozen: ... (give details).	5	Loaded by the consignor.	6	Loaded by the carrier in inclement weather at the request of the consignor.	7	Unloaded by the consignee.	8	Unloaded by the carrier in inclement weather at the request of the consignee.		Impossible to make the examination in accordance with CIM Article 11 § 3 because of:	9.1	- inclement weather,	9.2	- sealing of the wagon or UTI,	9.3	- impossible to access the load of the wagon or UTI,	10	Request for examination in accordance with CIM Article 11 § 3 presented late by the consignor.	11	Examination not made because of a shortage of resources: ... (give details).	12	Other reserves: ... (give details).
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Box No	Status of information	Contract of carriage	Description of box and information
65	C	CIM	<p><b>Other carriers:</b> Undertaking code and optionally name and postal address of carriers other than the contractual carrier; section to be performed in code and optionally in plain text; status of carriers (1 = successive carrier, 2 = substitute carrier).</p> <p>This box is to be completed by the forwarding carrier but only if carriers other than the contractual carrier participate in the performance of the carriage.</p>
66	M  C	CIM  CIM	<p><b>a) Contractual carrier:</b> Undertaking code and optionally name and postal address of the contractual carrier plus signature. Except where specially agreed between the consignor and the carrier, the signature is to be replaced by the consignment number shown in box 69 (see Article 6 § 3 CIM).</p> <p><b>b) Simplified transit procedure for rail (customs):</b> By marking a cross in the box, the contractual carrier, having his registered office in the European Union (EU) or in another contracting party of the EU/EFTA Convention on a Common Transit Procedure, requests that the simplified transit procedure for rail defined by Articles 25 and 30 to 44 of Commission Delegated Regulation (EU) 2016/341 (or the corresponding provisions of the EU/EFTA Convention on a Common Transit Procedure) be applied. He thus certifies that all the carriers taking part in the carriage including, if applicable, substitute carriers, are authorised to apply the simplified transit procedure for rail. The contractual carrier thus becomes the holder of the paper-based Union transit procedure for goods carried by rail.</p> <p>If the contractual carrier does not have his registered office in the European Union or in another Member State of the EU/EFTA Convention on a Common Transit Procedure, he is to ask for the application of the simplified transit procedure for rail in the name and for the account of the carrier that first takes over the goods in a Member State of the European Union or in another Member State of the EU/EFTA Convention on a Common Transit Procedure. He thus certifies that that carrier and all the carriers following including, if applicable, substitute carriers, are authorised to apply the simplified transit procedure for rail. That carrier thus becomes the holder of the paper-based Union transit procedure for goods carried by rail. His code may only be used by the contractual carrier when he is authorised to do so.</p> <p>See point 15.1 of this manual for details of entries to be made in boxes 66 a) and b) for SMGS → CIM traffic.</p>
67	M  M	CIM  SMGS	<p><b>Date of arrival:</b> Date of arrival of the consignment at the destination station (year, month, day). The carrier may add an arrival number.</p> <p>Date stamp of the carrier who has delivered the goods to the destination station after arrival of the goods.</p>
68	C	CIM	<p><b>Made available:</b> Time (month, day, hour) that consignment is made available to the consignee. This information on the consignment note may be replaced by another means.</p>
69	M	CIM/ SMGS	<p><b>Consignment number:</b> Identification number of the consignment [country and station code, code for the forwarding carrier or substitute carrier in accordance with the list of carrier codes (<a href="http://www.cit-rail.org">www.cit-rail.org</a>) and consignment number].</p> <p>On paper consignment notes, a control label is to be applied at least to sheet 2 (invoice). When identification numbers for consignments are allocated by computer or by another means, control labels need not be used.</p>

## 2 Currency names and codes

AFN	Afghani Афгани	HUF	Forint hongrois Венгерский форинт Ungarischer Forint Hungarian forint	RON	Leu roumain Румынский лей Rumänische Leu Romanian leu
ALL	Lek albanais Албанский лек Albanischer Lek Albanian lek	HRK	Kuna croate Хорватская куна Kroatische Kuna Croatian kuna	RSD	Dinar Serbe Сербский динар Serbischer Dinar Serbian dinar
AMD	Dram arménien Армянский драм Armenischer Dram Armenian dram	IQD	Dinar irakien Иракский динар Irakischer Dinar Iraqi dinar	RUB	Rouble russe Российский рубль Russischer Rubel Russian rouble
AZN	Manat azerbaïdjanais Азербайджанское Manat Aserbaïdschanisches Manat Azerbaijani manat	IRR	Rial iranien Иранский риал Iranischer Rial Iranian rial	SEK	Couronne suédoise Шведская крона Schwedische Krone Swedish krona
BAM	Mark convertible Конвертируемая марка Konvertierbare Mark Convertible Mark	KGS	Som kirghize Киргизский сом Kirgisischer Som Kyrgyzstani Som	SYP	Livre syrienne Сирийский фунт Syrisches Pfund Syrian pound
BGN	Lev bulgare Болгарский лев Bulgarische Lew Bulgarian lev	KPW	Won Nord coréen Севернокорейская вона Nordkoreanischer Won North Korean won	TJS	Somoni tadjike Таджикский сомони Tadschikischer Somoni Tajikistani somoni
BLR	Rouble belarusse Белорусский рубль Belorussischer Rubel Belarussian rouble	KZT	Tenge Тенге Tenge Tenge	TMT	Manat turkmène Туркменский манат Turkmenisches Manat Turkmenistani manat
CHF	Franc suisse Швейцарский франк Schweizer Franken Swiss franc	LBP	Livre libanaise Ливанский фунт Libanesisches Pfund Lebanese pound	TND	Dinar tunisien Тунисский динар Tunesischer Dinar Tunisian dinar
CNY	Yuan renminbi Китайский юань Жэньминьби Renminbi Yuan Yuan renminbi	MAD	Dirham marocain Марокканский дирхам Marokkanischer Dirham Moroccan dirham	TRY	Livre turque Турецкая лира Türkisches Pfund Turkish lira
CZK	Couronne tchèque Чешская крона Tschechische Krone Czech koruna	MDL	Leu moldave Молдавский лей Moldauischer Leu Moldovan leu	UAH	Hryvnia ukrainien Украинская гривна Ukrainischer Hryvnia Ukrainian hryvnia
DKK	Couronne danoise Датская крона Dänische Krone Danish krone	MKD	Denar macédonien Македонский динар Mazedonischer Denar Macedonian denar	USD	Dollar USA Доллар США USA-Dollar US dollar
DZD	Dinar algérien Алжирский динар Algerischer Dinar Algerian dinar	MNT	Tugrik mongole Монгольский тугрик Mongolischer Tögrög Mongolian tögrög	UZS	Sum ouzbek Узбекский сум Usbekischer So'm Uzbekistani som
EUR	EURO * Евро *	NOK	Couronne norvégienne Норвежская крона Norwegische Krone Norwegian krone	VND	Đồng vietnamien Вьетнамский донг Vietnamesischer Đồng Vietnamese đồng
GBP	Livre anglaise Английский фунт Englisches Pfund Pound sterling	PKR	Roupie pakistanaise Пакистанская рупия Pakistanische Rupie Pakistani rupee	XDR	Droit de tirage spécial (DTS) Единица специального права заимствования (ЕСПЗ) Sonderziehungsrecht (SZR) Special drawing right (SDR)
GEL	Lari géorgien Грузинская лари Georgischer Lari Georgian lari	PLN	Zloty polonais Польский злоты Polnischer Zloty Polish zloty		

\* Dans la République de Monténégro, l'Euro est utilisé.  
В Республике Черногории используется евро  
Für die Republik Montenegro gilt der Euro.  
In the Republic of Montenegro the euro is used.

**3 SMGS railways and their abbreviations (boxes 10, 16, 18 and 73)**

1	Azerbaijan Railways	AZ
	Railways of the Islamic Republic of Afghanistan	AfRA
	Railways of the Republic of Belarus	BC
	Bulgarian State Railways	BDZ
	Railways of the Republic of Moldova	CFM
	Railways of the Republic of Estonia	EVR
	Railways of Georgia	GR
	Railways of the Kyrgyz Republic	KRG
	Railways of the People's Republic of China	KZD
	Railways of the Republic of Kazakhstan	KZH
	Railways of the Republic of Latvia	LDZ
	Railways of the Republic of Lithuania	LG
	Hungarian State Railways	MAV
	Mongolian Railway	MTZ
	Railways of the Republic of Poland	PKP
	Railways of the Islamic Republic of Iran	RAI
	Railways of the Russian Federation	RZD
	Railways of Turkmenistan	TRK
	Railways of the Republic of Tajikistan	TZD
	Railways of the Republic of Uzbekistan	UTI
	Railways of the Ukraine	UZ
	Railways of the Socialist Republic of Vietnam	VZD
	Railways of the Democratic People's Republic of Korea	ZC
	Railways of the Slovak Republic	ZSR

**4 Codes (boxes 12 and 18)**4.1 Country codes for CIM area

10	Finland	73	Greece
22	Ukraine	74	Sweden
24	Lithuania	75	Turkey
25	Latvia	76	Norway
28	Georgia	78	Croatia
41	Albania	79	Slovenia
44	Bosnia and Herzegovina (Serb Republic)	80	Germany
50	Bosnia and Herzegovina (Croat-Muslim Federation)	81	Austria
51	Poland	82	Luxembourg
52	Bulgaria	83	Italy
53	Romania	84	The Netherlands
54	Czech Republic	85	Switzerland
55	Hungary	86	Denmark
56	Slovakia	87	France
58	Armenia	88	Belgium
60	Ireland	91	Tunisia
62	Montenegro	92	Algeria
65	FYR Macedonia	93	Morocco
70	United Kingdom	94	Portugal
71	Spain	96	Iran
72	Serbia	97	Syria
		98	Lebanon
		99	Iraq

#### 4.2 Railway codes for SMGS area

Railways of the Russian Federation	20
Railways of the Republic of Belarus	21
Railways of the Ukraine	22
Railways of the Republic of Moldova	23
Railways of the Republic of Lithuania	24
Railways of the Republic of Latvia	25
Railways of the Republic of Estonia	26
Railways of the Republic of Kazakhstan	27
Railways of Georgia	28
Railways of the Republic of Uzbekistan	29
Railways of the Democratic People's Republic of Korea	30
Railways of Mongolia	31
Railways of the Socialist Republic of Vietnam	32
Railways of the People's Republic of China	33
Railways of the Republic of Poland	51
Railways of Hungary	55
Railways of the Slovak Republic	56
Azerbaijan Railways	57
Railways of the Kyrgyz Republic	59
Railways of the Republic of Tajikistan	66
Railways of Turkmenistan	67
{ Railways of the Islamic Republic of Afghanistan	68
Railways of the Islamic Republic of Iran	96





## Appendix 7.1 (see point 20 of this manual)

# Explanatory notes on the completion and content of the CIM/SMGS wagon list

## 1 General provisions

Except where otherwise agreed, the consignor is to make out the CIM/SMGS wagon list and give it to the forwarding carrier with the CIM/SMGS consignment note.

As many copies of the CIM/SMGS wagon list must be attached as the CIM/SMGS consignment note has sheets. This must include the additional copies of the invoice described in point 19 of this manual.

The following entry must be made in box 19 of the CIM/SMGS consignment note: "See list attached".

## 2 Content

Except where otherwise agreed between the consignor and the carriers, the explanatory notes below apply to the completion of the CIM/SMGS wagon list:

a) Boxes to be completed by the consignor

Box No	Status of information	Contract of carriage	Description of box and information
1	M O	CIM/SMGS	<b>Consignor:</b> (see box 1 of the CIM/SMGS consignment note) <b>Code</b> (see box 2 of the CIM/SMGS consignment note)
2	M O	CIM/SMGS	<b>Consignee:</b> (see box 4 of the CIM/SMGS consignment note) <b>Code</b> (see box 5 of the CIM/SMGS consignment note)
3	M	CIM SMGS	<b>Acceptance point:</b> (including station and country) and date of acceptance of the goods for carriage <b>Forwarding station and railway abbreviation:</b> (see box 16 of the CIM/SMGS consignment note)
5	M	CIM/SMGS	<b>Delivery point:</b> (see box 10 of the CIM/SMGS consignment note)
6	O	CIM	<b>Code for the delivery point:</b> (see box 11 of the CIM/SMGS consignment note)
7	M	CIM/SMGS	<b>Station code:</b> (see box 12 of the CIM/SMGS consignment note)
11	M	CIM/SMGS	<b>Serial number</b>
12	M	CIM/SMGS	<b>Wagon No.:</b> (see box 19 of the CIM/SMGS consignment note)

Box No	Status of information	Contract of carriage	Description of box and information
13	C C M C C M C  C C C C	SMGS CIM/SMGS CIM/SMGS CIM SMGS CIM/SMGS SMGS  SMGS  CIM CIM CIM	<b>Description of the goods:</b> (see box 20 of the CIM/SMGS consignment note) Signs and marks shown on the packages. Nature of the packaging of the goods. Description of the goods. “Harmonised Commodity Code” for the goods. Perishable goods – see point 14.2.2 of this manual. Number of packages. Consignment which is out of gauge on railways ... (if it is not possible to put this information on the consignment note). Remarks on technical securing and loading conditions ... (if it is not possible to put this information on the consignment note). Master Reference Number (MRN). Administrative Reference Codes (ARC). Export.
14	M	CIM/SMGS	<b>NHM/GNG code:</b> (see box 23 of the CIM/SMGS consignment note)
15	C	CIM/SMGS	<b>RID/Appendix 2 SMGS:</b> (see box 22 of the CIM/SMGS consignment note)
16	C	CIM/SMGS	<b>Seals:</b> Number and description of seals attached by the consignor, the carrier or customs authorities (see boxes 20 and 26 of the CIM/SMGS consignment note)
17	M	CIM/SMGS	<b>Mass:</b> [weight] as given by the consignor/carrier – <i>delete inapplicable</i> (see boxes 24 and 38 of the CIM/SMGS consignment note). Gross mass [weight] of the goods (including packaging) separately by NHM/GNG code.
31	M	SMGS	<b>Total mass:</b> [weight] of the consignment (see box 24 of the CIM/SMGS consignment note).

## b) Boxes to be completed by the forwarding carrier

Box No	Status of information	Contract of carriage	Description of box and information
4	C	CIM	<b>Customs procedures:</b> (see box 61 of the CIM/SMGS consignment note)
8	M	CIM/SMGS	<b>Consignment number:</b> (see box 69 of the CIM/SMGS consignment note)
9	M	CIM	<b>Route:</b> (see box 60 of the CIM/SMGS consignment note)
10	M	SMGS	<b>Date stamp of the forwarding station</b> (see box 70 of the CIM/SMGS consignment note) Date stamp of contractual carrier at the forwarding station.
18	M	SMGS	<b>Chargeable mass:</b> [weight] (see box 83 of the CIM/SMGS consignment note)
19	M	SMGS	<b>Carriage charges:</b> (see boxes 94 and 95 of the CIM/SMGS consignment note)
20	M	SMGS	<b>Ancillary charges:</b> (see boxes 98 and 99 of the CIM/SMGS consignment note)
21	M	SMGS	<b>Total:</b> (see boxes 102 and 103 of the CIM/SMGS consignment note)

## c) Box to be completed by the carrier if necessary

Box No	Status of information	Contract of carriage	Description of box and information
30	C	CIM/SMGS	<b>Carrier's declarations</b> (see boxes 64 and/or 113 of the CIM/SMGS consignment note)



## Appendix 7.3

(see point 20 of this manual)

# Explanatory notes on the use and content of the CIM/SMGS container list

## 1 General provisions

Except where otherwise agreed, the consignor is to make out the CIM/SMGS container list and give it to the forwarding carrier with the CIM/SMGS consignment note.

As many copies of the CIM/SMGS container list must be attached as the CIM/SMGS consignment note has sheets to include the additional copies of the invoice described in point 19 of this manual.

The following entry must be made in box 19 of the CIM/SMGS consignment note "see list attached".

## 2 Content

Except where otherwise agreed between the consignor and the carriers, the explanatory notes below apply to the completion of the CIM/SMGS container list:

a) Boxes to be completed by the consignor

Box No	Status of information	Contract of carriage	Description of box and information
1	M O	CIM/SMGS	<b>Consignor</b> (see box 1 of the CIM/SMGS consignment note) Code (see box 2 of the CIM/SMGS consignment note)
2	M O	CIM/SMGS	<b>Consignee</b> (see box 4 of the CIM/SMGS consignment note) Code (see box 5 of the CIM/SMGS consignment note)
3	M	CIM SMGS	<b>Acceptance point</b> (including station and country) and date of acceptance of the goods for carriage Forwarding station and railway abbreviation (see box 16 of the CIM/SMGS consignment note)
5	M	CIM/SMGS	<b>Delivery point</b> (see box 10 of the CIM/SMGS consignment note)
6	O	CIM	<b>Code for the delivery point</b> (see box 11 of the CIM/SMGS consignment note)
7	M	CIM/SMGS	<b>Station code</b> (see box 12 of the CIM/SMGS consignment note)
11	M	CIM/SMGS	<b>Serial number</b>
12	C	CIM/SMGS	<b>Number of UTI:</b> (see box 20 of the CIM/SMGS consignment note)
13	C	CIM/SMGS	<b>Type and length of UTI</b> (see box 20 of the CIM/SMGS consignment note)

Box No	Status of information	Contract of carriage	Description of box and information
14	C C O C C M C C C	SMGS CIM/SMGS CIM/SMGS CIM SMGS CIM/SMGS CIM CIM CIM	<b>Description of the goods</b> (see box 20 of the CIM/SMGS consignment note) Signs and marks shown on the packages Nature of the packaging of the goods Description of the goods “Harmonised Commodity Code” for the goods Perishable goods – see point 14.2.2 of this manual Number of packages Master Reference Number (MRN) Administrative Reference Codes (ARC) Export
15	M	CIM/SMGS	<b>NHM/GNG code</b> (see box 23 of the CIM/SMGS consignment note)
16	C	CIM/SMGS	<b>RID/Appendix 2 SMGS</b> (see box 22 of the CIM/SMGS consignment note)
17	M	CIM/SMGS	<b>Mass</b> [weight] as given by the consignor (see box 24 of the CIM/SMGS consignment note) <ul style="list-style-type: none"> <li>- Gross mass [weight] of the goods (including packaging) separately by NHM/GNG code;</li> <li>- Tare of the UTI<sup>1</sup>;</li> <li>- Gross mass [weight] UTI<sup>2</sup>.</li> </ul>
18	C	CIM/SMGS	<b>Seals:</b> Tally number and description of seals attached by the consignor, the carrier or customs authorities (see boxes 20 et 26 of the CIM/SMGS consignment note)
19	C	CIM/SMGS	Documents attached by the consignor (see box 9 of the CIM/SMGS consignment note)
20	M	CIM/SMGS	<b>Wagon No. on forwarding</b> (see box 19 of the CIM/SMGS consignment note)
29	M	SMGS	<b>Total mass</b> [weight] of the consignment (see box 24 of the CIM/SMGS consignment note) <ul style="list-style-type: none"> <li>- Mass [weight] of the goods;</li> <li>- Tare of the UTI<sup>3</sup>;</li> <li>- Gross mass [weight] UTI<sup>4</sup>.</li> </ul>

## b) Boxes to be completed by the forwarding carrier

Box No	Status of information	Contract of carriage	Description of box and information
4	C	CIM	<b>Customs procedures</b> (see box 61 of the CIM/SMGS consignment note)
8	M	CIM/SMGS	<b>Consignment number</b> (see box 69 of the CIM/SMGS consignment note)
9	M	CIM	<b>Route</b> (see box 60 of the CIM/SMGS consignment note)
10	M	SMGS	<b>Date stamp of the forwarding station</b> (see box 70 of the CIM/SMGS consignment note) Date stamp of contractual carrier at the forwarding station.
21	M	SMGS	<b>Carriage charges</b> (see boxes 94 and 95 of the CIM/SMGS consignment note)
22	M	SMGS	<b>Ancillary charges</b> (see boxes 98 and 99 of the CIM/SMGS consignment note)
23	M	SMGS	<b>Total</b> (see boxes 102 and 103 of the CIM/SMGS consignment note)